

Attorney Docket No.: 5489P006X

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Wayne V. Sorin, et al.

Application No.: 10/055,108

Filed: January 22, 2002

For: OPTICAL CLADDING WITH LOW
POLARIZATION-DEPENDENT
COUPLING

Date of Allowance: December 23, 2003

Confirmation No.: 8937

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Examiner: Healy, Brian

Art Unit: 2874

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Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

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_____ A statement pursuant to 37 C.F.R. §1.97(e) or

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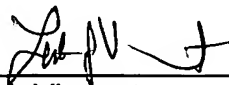
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: March 23, 2004



Lester J. Vincent
Reg. No. 31,460

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025
(408) 720-8300

Substitute for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

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|------------------------|------------------|
| Application Number | 10/055,108 |
| Filing Date | January 22, 2002 |
| First Named Inventor: | Wayne V. Sorin |
| Art Unit | 2874 |
| Examiner Name | Healy, Brian |
| Attorney Docket Number | 5489P006X |

Sheet

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of

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U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|--|-----------|--------------------------------|--|--|
| | | Number-Kind Code ² (if known) | | | | |
| | | US- | 4,298,245 | 11/3/1981 | Aulich et al. | |
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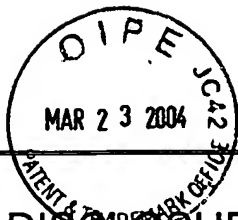
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
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| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| | | | KR 10-0265865 | | 01/15/1999 | Korea Advanced Institute of Science and Technology | | |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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| | | | | First Named Inventor: | Wayne V. Sorin |
| | | | | Art Unit | 2874 |
| | | | | Examiner Name | Healy, Brian |
| Sheet | 2 | of | 2 | Attorney Docket Number | 5489P006X |
| NON PATENT LITERATURE DOCUMENTS | | | | | |
| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | | | T ² |
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